2019 IIAC COMPLIANCE & OPERATIONS SYMPOSIUM APRIL 3, 2019

Location:	Vantage Venues, 150 King Street West, 16 th Floor (Garden Suite), Toronto			
8:15 a.m.	Registration and Breakfast			
8:50 a.m.	Opening Remarks Jack Rando, IIAC			
9:00 a.m.	Session One: <u>Moderator:</u> <u>Panelists:</u>	Leveraging New Technologies to Assist with Compliance and Operations Functions Jack Rando, IIAC Naresh Tejpal, CIBC Capital Markets Kuno Tucker, Virtu ITG Canada Corp. MATCHNow Gary A Teelucksingh, Capco Nigel Fonseca, Alithya		
9:50 a.m.	Session Two: Moderator: Panelists:	Cyber Readiness – Where are your Vulnerabilities? Susan Copland, IIAC Suzanne Lasrado, IIROC Catherine Drennan, IIROC		
10:35 a.m.	Morning Breal	k Courtesy of Canadian Western Trust		
10:55 a.m.	Session Three:Industry Perspectives on IIROC's Debt Market Reportin Surveillance SystemModerator:Todd Evans, IIACPanelists:Fred Smith, BMO Capital Markets Vanessa Gardiner, Scotiabank Global Banking and Marc Poles, IIROC			
11:45 a.m.	Session Four: Moderator: Panelists:	Liquid Alts: The New Retail Frontier Brian Driscoll, Aligned Capital Partners Michael A Burns, McMillan LLP Tim Elliott, Connor, Clark & Lunn Funds Inc. Keith Black, CAIA Association		
12:30 p.m.	Lunch	Courtesy of National Bank Independent Network		

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1:30 p.m.		Officer	e Chat with Maureen Jensen, Chair and Chief Executive , OSC, and Ian Russell, President and CEO, IIAC 1ichel Gosselin, Regional Vice President, NBIN	
2:20 p.m.	Session Six: <u>Moderator:</u> <u>Panelists:</u>	Richar Vic Tal Krstina	bis and Compliance d W. Carleton, The Canadian Securities Exchange poika, Leede Jones Gable a Skocic, BLG & Magee, Eight Capital Corp.	
3:20 p.m.	Afternoon Break			
3:35 p.m.	Session Seven: Moderator: Panelists:	: Vulnerable Clients: How Firms Can Better Protect Both Clients and Advisors Michelle Alexander, IIAC Ellen Bessner, Babin Bessner Spry Daniella Laise, OSC François Lavallée, National Bank of Canada Kate Schroeder, IG Management		
4:20 p.m.	Closing Remarks		Michelle Alexander, IIAC	
4:25 p.m.	Cocktail Reception		Courtesy of Portfolio Aid Inc.	



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